Sponsored Program Activity, Awards and Proposals

| Source: Awards and proposals from Sponsored Programs and Regulatory Compliance Services (SPARCS) |
| Source: Year-to-date and year-end C&G expenditures from Office of Contracts and Grants |

<table>
<thead>
<tr>
<th>Awarded Projects, YTD through February</th>
<th>Proposed Projects, YTD through February</th>
<th>Contracts &amp; Grants Expenditures</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>YTD 2012</strong></td>
<td><strong>YTD 2013</strong></td>
<td><strong>5-Year Avg</strong></td>
</tr>
<tr>
<td>Chancellor</td>
<td>180,000</td>
<td>208,000</td>
</tr>
<tr>
<td>Agriculture &amp; Life Sciences</td>
<td>54,521,793</td>
<td>42,966,654</td>
</tr>
<tr>
<td>Design</td>
<td>143,475</td>
<td>755,825</td>
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<tr>
<td>Education</td>
<td>9,152,849</td>
<td>2,932,305</td>
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<tr>
<td>Engineering</td>
<td>54,434,091</td>
<td>56,686,042</td>
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<tr>
<td>Humanities &amp; Social Sciences</td>
<td>7,147,758</td>
<td>5,991,675</td>
</tr>
<tr>
<td>Poole College of Management</td>
<td>390,746</td>
<td>874,857</td>
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<tr>
<td>Natural Resources</td>
<td>11,245,513</td>
<td>9,160,091</td>
</tr>
<tr>
<td>Physical &amp; Mathematical Sciences</td>
<td>17,979,066</td>
<td>19,670,188</td>
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<tr>
<td>Textiles</td>
<td>3,176,578</td>
<td>4,980,130</td>
</tr>
<tr>
<td>Veterinary Medicine</td>
<td>7,037,449</td>
<td>6,449,232</td>
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<tr>
<td>Extension &amp; Engagement</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Finance &amp; Business</td>
<td>121,670</td>
<td>68,803</td>
</tr>
<tr>
<td>International Affairs</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Information Technology</td>
<td>54,000</td>
<td>123,798</td>
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<tr>
<td>Provost</td>
<td>7,683,480</td>
<td>4,033,042</td>
</tr>
<tr>
<td>Research &amp; Graduate Studies</td>
<td>18,482,080</td>
<td>11,736,780</td>
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<tr>
<td>Student Affairs</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Undergraduate Affairs</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Other</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Total: 191,750,328 166,238,422 171,427,810 632,394,033 590,097,029 643,040,856 164,725,004 165,228,499 247,136,837

**Awarded Projects, YTD through February:**
- **Chancellor:** 180,000
- **Agriculture & Life Sciences:** 54,521,793
- **Design:** 143,475
- **Education:** 9,152,849
- **Engineering:** 54,434,091
- **Humanities & Social Sciences:** 7,147,758
- **Poole College of Management:** 390,746
- **Natural Resources:** 11,245,513
- **Physical & Mathematical Sciences:** 17,979,066
- **Textiles:** 3,176,578
- **Veterinary Medicine:** 7,037,449
- **Extension & Engagement:** 0
- **Finance & Business:** 121,670
- **International Affairs:** 0
- **Information Technology:** 54,000
- **Provost:** 7,683,480
- **Research & Graduate Studies:** 18,482,080
- **Student Affairs:** 0
- **Undergraduate Affairs:** 0
- **Other:** 0

**Proposed Projects, YTD through February:**
- **Chancellor:** 579,928
- **Agriculture & Life Sciences:** 141,653,940
- **Design:** 3,231,157
- **Education:** 8,432,138
- **Engineering:** 226,824,610
- **Humanities & Social Sciences:** 10,940,519
- **Poole College of Management:** 1,207,480
- **Natural Resources:** 17,571,877
- **Physical & Mathematical Sciences:** 142,489,664
- **Textiles:** 12,746,731
- **Veterinary Medicine:** 27,435,436
- **Extension & Engagement:** 1,219,873
- **Finance & Business:** 121,359
- **International Affairs:** 0
- **Information Technology:** 54,000
- **Provost:** 7,630,861
- **Research & Graduate Studies:** 31,174,693
- **Student Affairs:** 0
- **Undergraduate Affairs:** 0
- **Other:** 0

**Contracts & Grants Expenditures:**
- **Chancellor:** 513,548
- **Agriculture & Life Sciences:** 40,943,263
- **Design:** 3,313,597
- **Education:** 8,432,138
- **Engineering:** 226,824,610
- **Humanities & Social Sciences:** 10,940,519
- **Poole College of Management:** 1,207,480
- **Natural Resources:** 17,571,877
- **Physical & Mathematical Sciences:** 142,489,664
- **Textiles:** 12,746,731
- **Veterinary Medicine:** 27,435,436
- **Extension & Engagement:** 1,219,873
- **Finance & Business:** 121,359
- **International Affairs:** 0
- **Information Technology:** 54,000
- **Provost:** 7,630,861
- **Research & Graduate Studies:** 31,174,693
- **Student Affairs:** 0
- **Undergraduate Affairs:** 0
- **Other:** 0

**Total:** 191,750,328 166,238,422 171,427,810 632,394,033 590,097,029 643,040,856 164,725,004 165,228,499 247,136,837
UNC-GA Performance Funding Metrics for NC State

Core Measures

1) Freshman to Sophomore Retention
   - This is based on the standard IPEDS cohorts of all first-time, full-time bachelor's degree-seeking undergraduates starting in the fall term (or starting in the summer and continuing into fall), with no prior postsecondary enrollment other than dual enrollment while in high school. Full-time is defined as having enrolled in 12+ hours in that first fall term. Retained is defined as being still enrolled at the initial institution in the fall term of the academic year following the initial fall entry term.

2) 6-Year Undergraduate Graduation Rate
   - This is based on the standard IPEDS cohorts of all first-time, full-time bachelor's degree-seeking undergraduates starting in the fall term (or starting in the summer and continuing into fall), with no prior postsecondary enrollment other than dual enrollment while in high school. Full-time is defined as having enrolled in 12+ hours in that first fall term. Graduated is defined as having graduated from the initial institution by August 31st at the end of sixth academic year from the initial fall entry term.

3) Undergraduate Degree Efficiency
   - This is defined as the most recent annual count of undergraduate degrees and certificates awarded, divided by the most recent 6-year average undergraduate FTE per 100, with that 6-year period ending in the same year from which the degree counts are taken. The six-year average undergraduate credit hours are divided by 29.6 to be consistent with the North Carolina funded FTE approach. Undergraduate credit hours used in the FTE calculation are defined as hours taken by all undergraduate students (degree seekers and non-degree seekers) during a 12-month period: the 12-month period for both credit hours and degrees is based on the IPEDS time frame of July 1-June 30 (or Summer 2, Fall, Spring, Summer 1 terms in UNC data submissions).

4) Bachelor's Degrees Awarded to Pell Recipients
   - This is defined as the most recent annual count of bachelor's degrees awarded to Pell recipients. The 12-month period for both credit hours and degrees is based on the IPEDS time frame of July 1-June 30 (or Summer 2, Fall, Spring, Summer 1 terms in UNC data submissions). The definition of a Pell recipient is a student who received a Pell award at any UNC institution since Fall 1997.

5) Financial Integrity
   - The financial integrity measure will be based on a composite index score for UNC FIT. The composite index score is a weighted average of the three primary categories of UNC FIT (Business Standards, Key Performance Indicators (KPIs) and Monitoring plus the number of audit findings a campus receives in a given year. Campuses will not set performance targets for this measure but will be awarded performance points based on the actual index score. Complete details explaining the calculation of the composite index and the related impact on performance points will be provided in the next few weeks.

Campus-Specific Measures

6) Total Research and Development Expenditures
   - This will be defined based on total non-institutional R&D expenditures in a fiscal year, as reported by the National Science Foundation (NSF) in its Higher Education Research and Development (HERD) Survey, calculated as the total expenditures minus the institution-funded expenditures. NC State has chosen the option of Total R&D expenditures.

7) STEM and Health Degrees
   - This is a count of all degrees awarded in these discipline areas, with a degree defined as for IPEDS reporting, based on a July 1-June 30 period. For the purposes of this metric, STEM is defined by the six-digit Classification of Instructional Programs (CIP) codes contained on the list published by both the federal Department of Homeland Security and Department of Education, referred to in the National Center for Education Statistics report at http://nces.ed.gov/pubs2011/2011226.pdf and updated last year by DHS, as described at http://www.ice.gov/doclib/sevis/pdf/stem-list-2011.pdf. Health is defined as all CIP codes for which the first two digits are 51 (i.e., all health fields).

8) 6-Year Doctoral Completion Rate
   - This is the percentage of doctoral students in a particular cohort who completed their degree within the 6-year specified time period. The numerator is the count of doctoral students completing in the 6-year time period and the denominator is the cohort who started in a given academic year. Per the national norm for this metric, the academic year started is the academic year the student entered graduate school at NC State. (Including Certificates)

9) Annual Giving (Dollars)
   - Reported in the Council for Aid to Education's Voluntary Support of Education (VSE) survey in reports of Sources of Contributions for a given fiscal year. These sources include: alumni, parents, other individuals, foundations, corporations, religious organizations, fundraising consortia, and other organizations. Bequests and deferred gifts are not included.

10) Undergraduate Hours Attempted at Graduation
    - For all bachelor's degree recipients, except excluded populations described below, in a July 1-June 30 period, this will be a measure of the average hours accumulated. Hours accumulated are defined as total academic credit hours transferred plus total academic credit hours taken at the institution. These hours should exclude AP, CLEP, and institutional placement or other test credits awarded. Students who have received a statutorily defined tuition surcharge waiver are not included in this calculation.

![Baseline and GOAL graphs for each metric]

University Planning and Analysis, ALM_20130312_final.xlsx